

# THE NAVAJO NATION

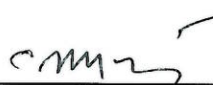
JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



January 13, 2022

## TRANSMITTAL

TO : Jason John, Director  
Navajo Department of Water Resources (DWR) / DNR

FROM :   
Cordell Shortey, Contracting Officer  
Contracts and Grants Section / OMB

SUBJECT : DWR - Cistern Systems; Fiscal Recovery Funds (FRF)- Defunded CARES Act

### I. Information on Contract (per Original Award):

Department of Water Resources	U.S. Treasury American Recovery Plan Act (ARPA)	21.019
Title of Contract	Funding Agency	CFDA No. - Federal
CJY-41-21	2022	03/11/2021 to 12/31/2026
Grant No.	Amount	Term - Begin and End Date
	\$ 14,722,159.00	

### II. Data Entered in FMIS Regarding:

☒ New Contract or Grant Company No. 8059 Business Unit (K#) K211523

☐ Contract Mod No. \_\_\_\_\_

☐ Amt of Award \_\_\_\_\_ to \_\_\_\_\_

AMOUNT FROM TO

☐ Budget Period - Extend End Date: From \_\_\_\_\_ To \_\_\_\_\_

☐ Other, specify: \_\_\_\_\_

### Authorizing Document - Attached:

☐ Contract / Agreement - Date executed \_\_\_\_\_

☐ NNC / Committee Resolution - No. & Date \_\_\_\_\_

☒ Other, specify: NN Council Resolution CJY-41-21

### III. Comments by CGS:

Budget received on January 10, 2022 is authorized for implementation pursuant to FY 2022 NN BIM Appendix L Section II.B and approved by Mr. Tom Platero.

### Attachment

Copy: Contract files  
Contract Accounting / OOC / DPM  
Tom Platero, Interim E.D. - NN FRF Office

Revised April 2018



Office of Management and Budget ♦ Post Office Box 646 ♦ Window Rock, AZ 86515  
(928) 871-6470 Telephone ♦ (928) 871-6567 Facsimile

FY 2022

# THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page 1 of 4  
BUDGET FORM 1

PART I. Business Unit No.: <u>new</u> Program Title: <u>ARPA Defunded CARES - Cisterns</u> Division/Branch: <u>Natural Resources/Executive</u>			
Prepared By: <u>Jason John</u> Phone No.: <u>(928)349-1198</u> Email Address: <u>jasonjohn@navajo-nsn.gov</u>			

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
				2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance	6	0	14,722,159	14,722,159
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				<b>TOTAL</b>		\$0.00	14,722,159.00	14,722,159

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:			0
Total # of Vehicles Budgeted:			0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.			
SUBMITTED BY: <u>Jason John, Department Manager III</u>		APPROVED BY: <u>Rudolph R. Shebala, Executive Director</u>	
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name	
<u>[Signature]</u> 1-10-2022		<u>[Signature]</u> 1-10-2022	
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date	



FY 2022

**THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA**

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BUDGET FORM 2

**PART I. PROGRAM INFORMATION:**Business Unit No.: newProgram Name/Title: ARPA Defunded CARES - Cisterns**PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:**

Pursuant to RDCO-79-16: A) The Department of Water Resources shall provide stewardship to manage, provide comprehensive water planning, compile information on existing surface and groundwater resources, coordination of the development and utilization of the Navajo Nation's waters to manage existing uses and to plan for future adequate water resources for domestic, industrial, agricultural, recreation, wildlife, aquatic life and other beneficial uses for maximum beneficial use to enhance the natural and human environment and exercise the sovereignty of the Navajo Nation over its waters; etc.

**PART III. PROGRAM PERFORMANCE CRITERIA:**

FY2022		FY2023		FY2024		FY2025	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

**1. Goal Statement:**Planning/Design**Program Performance Measure/Objective:**Plan and Design Selected Cistern Systems

56		40		40			
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**2. Goal Statement:**Construction**Program Performance Measure/Objective:**Construction Cistern and associated Septic Systems, Plumbing, Wiring, etc.

16		40		40		40	
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**3. Goal Statement:****Program Performance Measure/Objective:**

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**4. Goal Statement:****Program Performance Measure/Objective:**

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**5. Goal Statement:****Program Performance Measure/Objective:**

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**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**Jason John, Department Manager III**Program Manager's Printed Name**

  
**Program Manager's Signature and Date**  
1-10-2022

Rudolph R. Shebala, Executive Director**Division Director/Branch Chief's Printed Name**

  
**Division Director/Branch Chief's Signature and Date**  
1-10-2022

*1-11-2022*

FY 2022

**THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION**

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**BUDGET FORM 4**

<b>PART I. PROGRAM INFORMATION:</b>				
Program Name/Title: _____		ARPA Defunded CARES - Cisterns		Business Unit No.: _____ new
<b>PART II. DETAILED BUDGET:</b>				
(A)	(B)			(C)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)			Total by DETAILED Object Code (LOD 6)
				Total by MAJOR Object Code (LOD 4)
	<b>8000 ASSISTANCE</b>			14,722,159
	American Rescue Plan Act 2021 - Defunded CARES - Cisterns - Construction of Cistern Systems			
8780	Entities			
	.8785 Entity Grants			14,722,159
	NTUA Sub-recipient			
	NTUA/NECA Cistern Systems			\$ 14,722,159.00
	BIRDSRINGS RINCON BASIN CISTERNs Dist.5	6	homes	
	BS. REMOTE CISTERNs DIST. 5 WPT	17	homes	
	COALMINE CANYON - Appaloosa Ridge Cisterns Phase II	5	homes	
	INDIAN WELLS - PEANUT CISTERNs	8	homes	
	SHIPROCK FARM AREA A CISTERNs	2	homes	
	Canoncito Scattered - Cisterns	7	homes	
	CAMERON Gray Mountain Lava Wash Cisterns - Phase IV	15	homes	
	DILKON - TAHA CISTERNs	3	homes	
	DILKON RABBIT CISTERNs	4	homes	
	INDIAN WELLS-PUEBLO CO II CISTERNs (X 2019)	18	homes	
	COALMINE CANYON - Little Colorado Cisterns - Phase I	4	homes	
	SANOSTEE CANYON CISTERNs I	2	homes	
	SCATTERED SOLAR CISTERNs	13	homes	
	SHONTO SCATTERED CISTERNs	4	homes	
	TOLANI LAKE SCATTERED CISTERNs	3	homes	
	HARDROCK SCATTERED CISTERNs P1	8	homes	
	LEUPP SAN FRANCISCO WASH CIST.DIST5	18	homes	
	(Listing continues onto next page)			
<b>TOTAL</b>				14,722,159
				14,722,159

v. my 1/15/22

FY 2022

## THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

**Page 4 of 4**  
**BUDGET FORM 4**

PART I. PROGRAM INFORMATION:				
Program Name/Title:		ARPA Defunded CARES - Cisterns		Business Unit No.: new
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
	<b>8000 ASSISTANCE (continued)</b> American Rescue Plan Act 2021 - Defunded CARES - Cisterns - Construction of Cistern Systems  Project Listing Continued:  BIRDSPRINGS HWY 99 CISTERNs, PHASE I 10 homes CAMERON LCR Gorge Cisterns 11 homes TOLANI LAKE PRICE II CIST. DIST. 5 4 homes LEUPP - GRAND FALLS SCATT. CISTERNs 9 homes KAIBETO SCATTERED CISTERN 3 homes Cross Canyon South- Cisterns 3 homes Mexican Springs Todilto Park Cisterns 14 homes Oljato Narrow Canyon Scattered CISTERNs (X 2019) 8 homes CAMERON Mountaintop Cisterns - Phase III 3 homes LEUPP PADRE CANYON CIST. DIST. 5 21 homes LEUPP CANYON DIABLO CIST DIST. 5 11 homes WEST LEUPP SCATTERED CISTERN 2 homes <hr/> 236 Total homes  \$ 62,382.03 Average estimate per home			
TOTAL				

✓ 1/13/22



**THE NAVAJO NATION  
PROJECT BUDGET SCHEDULE**

**Page 1 of 1  
PROJECT FORM**

<b>PART I.</b> Business Unit No.: <u>new</u> Project Title: <u>ARPA Defunded CARES - Cisterns</u> Project Description <u>Planning, design and construction of 136 cistern systems.</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification																		<b>PART II.</b> Project Information Project Type: <u>Water/Wastewater</u> Planned Start Date: <u>2/1/2022</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>Jason John</u>													
<b>PART III.</b> List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.		<b>PART IV.</b> Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																								Expected Completion Date if project exceeds 8 FY Qtrs.					
		FY 2022												FY 2023																	
		1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			Date 9/30/2025					
		O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M
Planning and Design							16				20			20			10			10			10			10			40		
Constuction													16												40				80		
		\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL					
Expected Quarterly Expenditures								14,722,159.00																		\$14,722,159.00					

FOR OMB USE ONLY:    Resolution No: \_\_\_\_\_    FMIS Set Up Date: \_\_\_\_\_    Company No: \_\_\_\_\_    OMB Analyst: \_\_\_\_\_

*1.19/20*

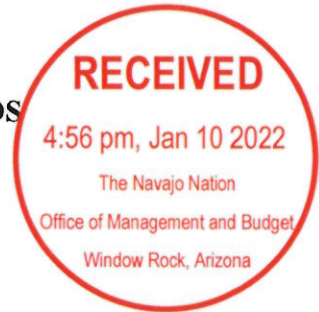


**NAVAJO NATION DEPARTMENT OF JUSTICE**  
**OFFICE OF THE ATTORNEY GENERAL**

DOREEN N. MCPAUL  
Attorney General

KIMBERLY A. DUTCHER  
Deputy Attorney General

**DEPARTMENT OF JUSTICE**  
**INITIAL ELIGIBILITY DETERMINATION**  
**FOR NAVAJO NATION FISCAL RECOVERY FUNDS**



RFS/HK Review #: HK0030

Date & Time Received: 9/30/21 7:31pm

Date & Time of Response: 10/5/2021 2:40 p.m MDT

Entity Requesting FRF: Department of Water Resources

Title of Project: Cistern Projects/Drinking Water Storage

Administrative Oversight: Navajo Tribal Utility Authority

Amount of Funding Requested: \$14,722,159

**Eligibility Determination:**

- ☒ FRF eligible  
☐ FRF ineligible  
☐ Additional information requested

**FRF Eligibility Category:**

- ☐ (1) Public Health and Economic Impact  
☐ (2) Premium Pay  
☐ (3) Government Services/Lost Revenue  
☒ (4) Water, Sewer, Broadband Infrastructure

Confirm that this project is distinct from the cistern project previously approved by DOJ on September 17, 2021 (HK0007).

\_\_\_\_\_  
\_\_\_\_\_

U.S. Department of Treasury Reporting Expenditure Category: 5.14

Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):

- |  |  |
|--|--|
| <input type="checkbox"/> Missing Form  | <input type="checkbox"/> Expenditure Plan incomplete                     |
| <input type="checkbox"/> Supporting documentation missing  | <input type="checkbox"/> Funds will not be obligated by 12/31/2024       |
| <input type="checkbox"/> Project will not be completed by 12/31/2026                                     | <input type="checkbox"/> Incorrect Signatory                             |
| <input type="checkbox"/> Ineligible purpose  | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports                                 |  |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination |  |

Other Comments: \_\_\_\_\_

Name of DOJ Reviewer: Kristen A. Lowell

Signature of DOJ Reviewer: 

Disclaimers: This Initial Eligibility Determination is based on the documents provided which we have assumed are true, correct, and complete. Should the Project or Program change in any material way after this initial determination, the requestor must seek the advice of NNDOJ. This initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.